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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/274,194	03/22/1999	JOHN E. LANG	LAM2P266	8105

7590 11/03/2005

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EXAMINER
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SONG, MATTHEW J

ART UNIT	PAPER NUMBER
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1722

DATE MAILED: 11/03/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/274,194

Applicant(s)

LANG, JOHN E.

Examiner

Matthew J. Song

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 August 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 20-38 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 20-38 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Continued Examination Under 37 CFR 1.114*

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/18/2005 has been entered.

### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 20-22, 26-28, and 32-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5,302,547) or Huang et al (US 6,191,028) in view of Chen et al (US 5,700,740).

Wojnarowski et al discloses providing a semiconductor substrate **10**, forming a low dielectric constant layer **18** on a surface of the semiconductor layer, forming a hard mask layer **38** over the low dielectric constant layer, forming a photoresist layer **40** over the hard mask layer and forming openings **44** through the entire thickness of the hard mask layer exposing the low dielectric constant layer (col 8, ln 45 to col 9, ln 25 and Figs 5(a)-5(c)). Wojnarowski et al also

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discloses removing the photoresist layer from over the hard mask layer (col 9, ln 15-25).

Wojnarowski et al also discloses the low dielectric constant layer 18 can be formed of TEFLON polytetrafluoroethylene (col 8, ln 66 to col 9, ln 1), this reads on applicant's organic low dielectric constant layer.

Huang et al discloses a method of patterning a dielectric comprising a substrate 10, a low dielectric constant layer 12, a hard mask 13, a photoresist layer 14 and forming an opening through an entire thickness of the hard mask layer exposing the low dielectric constant layer. Huang et al also teaches the photoresist layer is removed using a plasma containing oxygen (col 1, ln 25-55). Huang et al also teaches the low dielectric layer comprises organic polymers, such as parylene (col 1, ln 25-35).

Wojnarowski et al is silent to the method used to remove the photoresist layer. Huang et al teaches removing the photoresist layer using oxygen plasma.

In a method of fabricating integrated circuits on a semiconductor substrate of silicon, note entire reference, Chen et al teaches a silicon substrate 2 and photoresist film 5 is deposited thereon on and patterned (column 3, lines 30-67). Chen et al also teaches the photoresist is stripped off by using an oxygen plasma or a suitable solvent such as ACT-690, which is photoresist stripper consisting of a mixture of dimethyl-sulfoxide (column 4, ln 25-40). This reads on applicant's limitation of removing the photoresist layer from the over the hard mask layer with dimethyl sulfoxide of a high-pressure liquid chromatography (HPLC) grade.

Because Wojnarowski et al does not teach any particular means for removing the photoresist, any conventional means of removing photoresist would be applicable. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to

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modify Wojnarowski et al by using the conventional means of removing photoresist using dimethyl-sulfoxide, as taught by Chen et al and ACT-690 is known to selectively remove a photoresist mask without attacking a low dielectric layer.

Chen et al teaches using dimethyl sulfoxide or an oxygen plasma to remove photoresist, this is a teaching that using dimethyl sulfoxide or an oxygen plasma are equivalent methods of removing photoresist. It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Huang et al by using dimethyl sulfoxide to remove the photoresist as taught by Huang et al because substitution of known equivalents for the same purpose is held to be obvious (MPEP 2144.06) and ACT-690 is known to selectively remove a photoresist mask without attacking a low dielectric layer.

Unlike the claimed invention, neither the combination of Wojnarowski et al and Chen et al or the combination of Huang et al and Chen et al teaches a method wherein a high selectivity of the dimethyl sulfoxide of HPLC grade toward a low dielectric constant material of the low dielectric constant layer causes the dimethyl sulfoxide to chemically dissolve the photoresist layer from over the hard mask layer without substantially damaging the low dielectric constant layer. Chen et al does teach the dimethyl sulfoxide chemically dissolved the photoresist layer. Since the dimethyl sulfoxide layer chemically dissolves the photoresist layer and the same process steps are performed, it is inherent that the dimethyl sulfoxide has a high selectivity toward a low dielectric constant material, absent evidence to the contrary. Furthermore, Liu et al (US 6,150,272) teaches a low dielectric polymer layer is not attacked when using an ACT-690 solution to remove a photoresist.

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Referring to claim 21-22, the combination of Wojnarowski et al and Chen et al teaches Teflon, which inherently has a dielectric constant of 3.0 or less, as evidenced by Subramanian et al (US 6,596,623) and Cheung et al (US 5,670,828) below. The combination of Huang et al and Chen et al teaches parylene, which inherently is within the claimed range, note page 7 of the instant specification.

4. Claims 23-25, 29-31 and 34-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5,302,547) or Huang et al (US 6,191,028) in view of Chen et al (US 5,700,740) as applied to claims 20-22, 26-28, and 32-33 above, and further in view of Fujimura et al (US 4,861,732).

The combination of Wojnarowski et al and Chen et al or the Huang et al and Chen et al teaches all of the limitations of claim 23, as discussed previously, except the semiconductor substrate is held in an ultrasonic bath.

In a method fabricating a semiconductor bath, Fujimura et al teaches for the removal of the resist layer a substrate is immersed for about five minutes in an etchant and it is preferable to stir the solvent by applying an ultrasonic wave in order to improve the efficiency of the reaction, this reads on an applicant's ultrasonic bath. It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Wojnarowski et al and Chen et al or the Huang et al and Chen et al with Fujimura et al's ultrasonic wave to improve the efficiency of the solvent.

Referring to claim 24, the combination of Wojnarowski et al, Chen et al and Fujimura et al or the Huang et al, Chen et al and Fujimura et al is silent to the temperature of the bath.

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Temperature is well known in the art to be a result effective variable. Therefore, It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Wojnarowski et al, Chen et al and Fujimura et al or the Huang et al, Chen et al and Fujimura et al by optimizing temperature by conducting routine experimentation of a result effective variable (MPEP 2144.05). Also, where the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation. (In re Aller, 220 F.2d 454, 456, 105 USPQ 233, 235(CCPA 1955)). Furthermore, heating dimethyl sulfoxide to 80°C to remove photoresists is conventionally known in the art, as evidenced by Martin et al (US 4,304,681) below.

Referring to claim 25, the combination of Wojnarowski et al, Chen et al and Fujimura et al or the Huang et al, Chen et al and Fujimura et al is silent to the duration of the bath. Minimizing the bath time would be desirable to improve productivity. It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the combination of Wojnarowski et al, Chen et al and Fujimura et al or the Huang et al, Chen et al and Fujimura et al by optimizing the bath time to be a minimum by conducting routine experimentation of a result effective variable (MPEP 2144.05). Furthermore, where the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation. (In re Aller, 220 F.2d 454, 456, 105 USPQ 233, 235(CCPA 1955)).

***Response to Arguments***

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5. The declaration filed on 8/18/2005 under 37 CFR 1.131 is sufficient to overcome the Liu reference.

6. Applicant's arguments filed 8/18/2005 have been fully considered but they are not persuasive.

The declaration under 37 CFR 1.132 filed 8/18/2005 is insufficient to overcome the rejection of claims 20-38 based upon Chen and Huang as set forth in the last Office action because: It include(s) statements which amount to an affirmation that the affiant has never seen the claimed subject matter before. This is not relevant to the issue of nonobviousness of the claimed subject matter and provides no objective evidence thereof. See MPEP § 716. Also, in view the interest of the expert in the outcome of the case, the testimony in the declaration is given little weight since Mr. Keil is an employee of the Assignee, LAM, and a co-worker of the inventor. The declaration is also given little weight because there is no factual support for the expert's opinion ((MPEP 716.01 (c) [R-2])).

Applicant's argument that Huang does not teach using an oxygen plasma to remove photoresist when a portion of an organic low dielectric constant layer is exposed is noted but is not found persuasive. The Examiner admits Huang teaches using a cap insulation layer to prevent the removal of low k dielectric by oxygen plasma. However, the Examiner maintains that this teaching by Huang is merely an improvement on the known prior art process disclosed by Huang, which does not using a cap insulation layer. Huang is solely relied upon for the teaching disclosed within the background of invention portion, which describes the prior art process and disadvantages of the prior art process. Huang discloses that oxygen plasma has been used to



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remove photoresist from a low k dielectric in conventional processes. The use of patents as references is not limited to what the patentees describe as their own inventions or to the problems with which they are concerned and relevant for all that they contain. Disclosed examples and preferred embodiments do not constitute a teaching away from a broader disclosure or nonpreferred embodiments (MPEP 2123). It is also noted that Huang does not teach away from the use of a solvent to remove the photoresist.

### ***Conclusion***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Subramanian et al (US 6,596,623) teaches low dielectric organic materials include parylene (dielectric constant of 2.3-3.1), Teflon (dielectric constant of 1.8-2.1) and polyimides having a dielectric constant of about 3.0. (col 4, ln 30-40).

Cheung et al (US 5,670,828) teaches polyimides generally exhibit a dielectric constant of about 2.4-3.9 and Teflon exhibits a dielectric constant of about 1.6 to about 2.2 (col 1, ln 40-50).

Martin et al (US 4,304,681) teaches heating dimethyl sulfoxide to 80°C to remove a photoresist layer (Example 1).

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Matthew J. Song whose telephone number is 571-272-1468. The examiner can normally be reached on M-F 9:00-5:00.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Duane Smith can be reached on 571-272-1166. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Matthew J Song  
Examiner  
Art Unit 1722

MJS  
October 27, 2005

**ROBERT KUNEMUND**  
**PRIMARY EXAMINER**